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Human Picornaviruses Associated with Neurological Diseases and Their Neutralization by Antibodies --Manuscript Draft--

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Abstract:	<p>Picornaviruses are the most commonly encountered infectious agents in mankind. They typically cause mild infections of the gastrointestinal or respiratory tract, but sometimes also invade the central nervous system. There, they can cause severe diseases with long-term sequelae and even be lethal. The most famous picornavirus is polio that was a huge burden for mankind for a long time. A successful vaccination campaign brought polio close to eradication, but neurological diseases caused by other picornaviruses have been increasingly reported since the late 1990s. In this review we focus on enterovirus 71, coxsackievirus A16, enterovirus 68 and human parechovirus 3 that have recently drawn attention because of their links to severe neurological diseases. We discuss the clinical relevance of these viruses, the primary role of humoral immunity to control them and summarize current knowledge on neutralization of such viruses by antibodies.</p>

1 Human Picornaviruses Associated with Neurological Diseases and Their
2 Neutralization by Antibodies

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20 **ABSTRACT**

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INTRODUCTION

Picornaviridae is one of the largest viral families. According to the International Committee on Taxonomy of Viruses (ICTV) it contains 31 genera that together enclose 54 viral species (Adams *et al.*, 2015). They infect diverse hosts, from lower vertebrates to mammals. The genera *Kobuvirus*, *Salivirus*, *Cosavirus*, *Cardiovirus*, *Hepatovirus*, *Parechovirus*, and *Enterovirus* infect humans (Fig. 1) (Tapparel *et al.*, 2013).

Hepatovirus A, *Parechovirus A* and multiple enterovirus genera can cause symptomatic infections in humans. They typically result in mild disease of gastrointestinal or respiratory tract, but sometimes are associated with severe conditions. For instance, coxsackievirus B (CVB) type 3 has an established role in viral myocarditis (Fairweather *et al.*, 2012), and CVB4 is well-documented as a viral trigger of type 1 diabetes onset (Yeung *et al.*, 2011).

Several human picornaviruses can target the central nervous system (CNS) and cause severe neurological diseases. The most well known of them is polio (PV). It caused outbreaks of flaccid paralytic disease in children and was a health care burden for a long time, until development of vaccines and a worldwide vaccination campaign brought it close to eradication (Morales *et al.*, 2016). However, other neurotropic picornaviruses still have potential to cause outbreaks of neurological diseases, such as severe and life-threatening meningoencephalitis, encephalitis or acute flaccid paralysis (AFP). A recent metagenomic study identified members of *Cosavirus*, *Cardiovirus*, *Kobuvirus*, *Enterovirus* and *Parechovirus* genera in clinical samples from AFP children (Victoria *et al.*, 2009). This study corroborated epidemiological and experimental work that has already established firm connections between

Enterovirus and *Parechovirus* and neurological diseases in humans and is described below in detail.

PICORNAVIRUS CNS TARGETING

Picornaviruses spread via the fecal-oral or respiratory routes, and the primary sites of their replication are the gastrointestinal or respiratory tracts. Nevertheless, at least some enteroviruses (EV) and human parechoviruses (HPeV) are routinely neurotropic (Rhoades *et al.*, 2011; Wiley *et al.*, 2015).

Picornaviruses utilize a variety of widely expressed molecules as their entry receptors (Evans & Almond, 1998). Such receptors are often present on the surface of cells within the CNS. For example, a receptor for PV—CD155—is expressed in the motor neurons of the spinal cord anterior horns, which are affected during poliomyelitis (Gromeier *et al.*, 2000). Human scavenger receptor class B member 2 (hSCARB2) that is utilized by EV71 and CVA16, is expressed on a variety of cells, including neurons and glial cells (Jiao *et al.*, 2014). Thus, the CNS cells are susceptible for infection. In addition, the nervous tissue has reduced immune surveillance and weaker interferon (IFN) responses, and is a plausible site for replication of IFN-sensitive picornaviruses (Ida-hosonuma *et al.*, 2005). Hence, the CNS cells are also permissive for viral replication.

There is molecular evidence suggesting that picornaviruses can invade the CNS by three possible mechanisms: peripheral nerve infection, blood-brain barrier crossing and “Trojan horse” invasion.

The first mechanism is peripheral nerve infection followed by retrograde axonal transport and trans-synaptic spread in nervous tissue (Fig. 2 (a) and (b)). The evidence for this came from tissue culture studies and *in vivo* experimental models for

PV and also from EV71 patient material (Chen *et al.*, 2007; Daley *et al.*, 2005; Ren & Racaniello, 1992; Wong *et al.*, 2008).

The second mechanism proposes that during viremia viruses cross the blood-brain barrier (BBB) and infect neural cells. Indeed, high levels of viremia and inflammation can decrease tight junction protein expression, disrupt BBB integrity and facilitate viral invasion (Fig. 2 (c)) (Chai *et al.*, 2014; Daniels *et al.*, 2014).

Although the inflammation-induced BBB breakdown has not been directly shown for picornaviruses, their prolonged viremia correlates with severe CNS infections and supports this possibility (Cheng *et al.*, 2014). Picornaviruses can also cross the BBB in an active manner: PV can move through the BBB at a rate comparable to a BBB-crossing antibody (Fig. 2 (d)) (Yang *et al.*, 1997). Such trafficking happens independently of the PvR and appears to rely on transferrin receptor 1 (Mizutani *et al.*, 2016).

The third mechanism of neurotropism involves migration of infected cells, such as dendritic cells, monocytes, macrophages, T- and B-cells and nestin⁺ myeloid cells to the CNS, and is called a “Trojan horse” invasion (Fig. 2 (e)) (Tabor-Godwin *et al.*, 2010; Vuorinen *et al.*, 1996; Wahid *et al.*, 2005).

Neurotropic picornaviruses often target different regions of the CNS, and hence vary in their clinical manifestations. Infection of meningeal cells or cells of the ventricular lining results in aseptic meningitis—a non-bacterial inflammation of tissues lining the brain (Irani, 2008). Infection of neurons with subsequent inflammation of brain parenchyma results in encephalitis that can have long-term sequelae or be fatal (Verboon-Macielek *et al.*, 2008). Inflammation of the spinal cord grey matter results in myelitis and can lead to limb paralysis (Irani, 2008). All these

conditions can be caused by different picornaviruses and their incidences are highest in children (Nicolosi *et al.*, 1986).

NEUROTROPIC PICORNAVIRUSES IN FOCUS

Confirmed neurotropic picornaviruses are members of *Enterovirus* and *Parechovirus* genera. The genus *Enterovirus* includes many recognized pathogens, such as PV, CVA, coxsackieviruses B (CVB), rhinoviruses and EV, whereas genus *Parechovirus* is smaller and includes one human pathogenic species—Parechovirus A. The infections are common, and in the US alone over 10 million symptomatic EV cases are reported annually (Strikas *et al.*, 1986). Human EV and HPeV can be responsible for about 80% of aseptic meningitis cases (Esposito *et al.*, 2014) and 11% of reported encephalitis cases (Koskiniemi *et al.*, 2001). Several types of EV can trigger myelitis with limb paralysis (Kincaid & Lipton, 2006).

Not all serotypes of EV and HPeV cause CNS diseases. Enteroviruses associated with CNS infections include PV types 1, 2 and 3, echovirus types 9, 11, 30 and 33, CVA type 16, CVB types 3 and 5 (Mistchenko *et al.*, 2006), EV types 68 (Messacar *et al.*, 2015) and 71 (McMinn *et al.*, 2001). Parechovirus CNS infections are almost exclusively caused by HPeV3 (Piralla *et al.*, 2014). In this review we will discuss EV71, CVA16, EV68 and HPeV3 that have gained attention due to their recent emergence and connection with CNS infections.

Enterovirus 71 and Coxsackievirus A16

EV71 was initially discovered as a CNS-targeting picornavirus: the first isolates came from two children with neurological symptoms in 1969 in California (Schmidt *et al.*, 1974). In 1973 it was identified as an etiological agent for hand-foot-and-mouth

disease (HFMD), a childhood exanthema characterized by rashes on the palms and soles, oral ulcers and brief febrile illness, but cases of aseptic meningitis were also observed (Hagiwara *et al.*, 1978). In the middle of 1970s it caused a few small outbreaks of aseptic meningitis in the USA, Europe and Australia (Alexander *et al.*, 1994; Blomberg *et al.*, 1974; Ishimaru *et al.*, 1980; Kennett *et al.*, 1974) and two rather large outbreaks of polio-like disease in Bulgaria and Hungary that affected predominantly infants with up to 21% of paralytic manifestations of which over a quarter were lethal (Chumakov *et al.*, 1979; Nagy *et al.*, 1982; Shindarov *et al.*, 1979).

EV71 became a major health care threat in the late 1990s after a series of large outbreaks across the Asia-Pacific region. Most of the affected individuals were children; they often developed HFMD or herpangina, but upper respiratory tract infections (URTI) and non-specific rashes were occasionally observed. A fraction of EV71 infections had neurological and systemic manifestations, but, unlike earlier outbreaks when aseptic meningitis was the most frequent neurological manifestation, more recent outbreaks were characterized with the increased incidence of much more severe brainstem encephalitis and high mortality rate (Chan *et al.*, 2000; Huang *et al.*, 1999). The first and largest outbreak in this series occurred in 1998 in Taiwan. Sentinel physicians reported almost 130,000 cases of HFMD, and over 400 cases of severe neurological involvement with almost 20% mortality rate (Ho *et al.*, 1999b) and in total that outbreak affected about 1.5 million people (Solomon *et al.*, 2010). In 1999 an outbreak in Western Australia resulted in 6000 cases of HFMD and 29 cases of CNS disease, at least nine of which were severe and four developed long-term neurological sequelae (McMinn *et al.*, 2001). EV71 outbreaks, most of which had lethal cases, continued in Korea, Singapore, Japan, Malaysia, Vietnam and Thailand

(Solomon *et al.*, 2010). In 2008 there was a large EV71 outbreak in China with almost half a million reported HFMD cases and 122 fatal cases (Yang *et al.*, 2009). The virus kept on circulating in China and contributed to HFMD cases with CNS complications and fatalities at least until 2014 (Liu *et al.*, 2015a). By then, over 7.5 million cases of HFMD were reported in China alone, of which over 80,000 had neurological involvement and Chinese government declared the development of measures to control EV71 spread as a national priority (Liu *et al.*, 2015b).

Enterovirus 71 infections have been lately detected in Europe, including Denmark, France, Spain and the Netherlands, and although the incidence of EV71 infections there is low, occasional lethal cases have already been reported (Cabrerizo *et al.*, 2014; Fischer *et al.*, 2014; van der Sanden *et al.*, 2011; Schuffenecker *et al.*, 2011). Interestingly, German researchers have recently described a case of pediatric encephalitis caused by a novel EV71 genotype that likely arose from a recombination event (Karrasch *et al.*, 2016). Although no epidemic activity of EV71 has so far been reported in Europe, the European Centre for Disease Prevention and Control (ECDC) risk assessment reported increased detections of EV71 in the first half of 2016 as compared to previous years, necessitating preparedness to control EV71 spread in Europe (ECDC, 2016).

Importantly, EV71 often alternates or co-circulates with other *Enterovirus A* genotypes, mostly with CVA16, another recognized HFMD agent. Coxsackievirus A16 also predominantly infects children but, unlike EV71, typically causes milder symptoms and has much lower morbidity and mortality rate. In a comparative study of 177 EV71 and 64 CVA16 patients in Taiwan, only 6.3% of CVA16 infections developed aseptic meningitis, whereas 32% of EV71 cases resulted in aseptic meningitis, encephalitis, polio-like syndrome, encephalomyelitis and fatal pulmonary

edema of which 7.9% were lethal (Chang *et al.*, 1999). However, occasional severe and fatal CVA16 cases have been reported in USA (Wright *et al.*, 1963), Taiwan (Wang *et al.*, 2004), France (Legay *et al.*, 2007), Japan (Goto *et al.*, 2009), and China (Chen *et al.*, 2015).

The outbreaks of CVA16 were documented in Canada (1957) (Robinson *et al.*, 1958), Australia (1991) (Ferson & Bell, 1991), England and Wales (1994) (Bendig & Fleming, 1996) and India (2009) (Kar *et al.*, 2013) and were linked to HFMD. It co-circulated with EV71 in 1998 during the unprecedented HFMD epidemic in Taiwan (Ho *et al.*, 1999a) and continued circulating in Taiwan becoming dominant in years 2002 and 2003 (Chang, 2008). The co-circulation of CVA16 and EV71 was documented during HFMD outbreaks in Vietnam in 2005 (Tu *et al.*, 2007) and in China in 2008 onwards (Liu, 2014). In Singapore CVA16 was the major cause of HFMD epidemics in years 2002, 2005 and 2007, whereas in 2006 it was EV71 (Ang *et al.*, 2009).

Co-circulation of EV71 and CVA16 allows viral co-infections (He *et al.*, 2013) and recombination, which happened during the HFMD outbreak in China (Zhang *et al.*, 2010a). Recombination between EV71 and CVA16 and accumulation of point mutations can give rise to viruses with altered antigenicity, thus limiting population protection and complicating control of viral spread. Both EV71 and CVA16 require attention as clinically important pathogens.

Enterovirus 68

Enterovirus 68 belongs to the D species of the *Enterovirus* genus. Although genetically it is an enterovirus, EV68 shares properties of both entero- and rhinoviruses and in most cases causes respiratory infections (Oberste *et al.*, 2004). It

was first isolated in 1962, but only 26 cases were reported until 2005 and EV68 received little attention from clinical and scientific communities (Khetsuriani *et al.*, 2006). This changed in 2008–2010 when several outbreaks of acute respiratory illness caused by EV68 were reported by the Centers for Disease Control and Prevention (CDC) in the Philippines, Japan, the Netherlands and the USA (Imamura *et al.*, 2011). The affected individuals typically presented with URTI symptoms—cough, fever, rhinorea, difficulties in breathing and hypoxia—although severe lower respiratory tract infections (LRTI) were also detected (Khetsuriani *et al.*, 2006). Individuals infected with EV68 often required hospitalization: for example, prospective study in the Netherlands reported that out of 24 EV68-positive subjects, 23 were hospitalized (Imamura *et al.*, 2011). Deaths were reported in the Philippines and in Japan, but not in the US and the Netherlands (Imamura *et al.*, 2011). In all studies EV68 was reported as a paediatric pathogen, except for the work done by Meijer *et al.* who reported a significant number of patients over 50 years old (Meijer *et al.*, 2014).

Following the initial outbreaks, EV68 continued its seasonal circulation, and was occasionally detected in respiratory samples from paediatric patients with URTI and severe LRTI in different countries, further supporting its clinical relevance and place as a concern for medical society (Esposito *et al.*, 2015; Gimferrer *et al.*, 2015; Imamura *et al.*, 2013; Lu *et al.*, 2014). The concern has been raised further after the EV68 outbreak in the USA in 2014 (Khan, 2015) when over one thousand patients from 47 states have been diagnosed with acute respiratory illness (ARI) caused by EV68. The outbreak resulted in a significant increase in hospital admissions: in Kansas City alone over 300 patients were hospitalized, of which 15% were admitted to ICU and 15 cases were fatal. Simultaneously, an increased incidence of EV68 ARI was reported in Canada, where over 200 cases have been identified, resulting in 140

hospitalizations and one death (Khan, 2015). In 2016 EV68 was detected in patients with neurological manifestations in the Netherlands, France, UK, Italy, Portugal and Germany (ECDC, 2016).

Intriguingly, the 2014 EV68 outbreak in North America overlapped with an outbreak of a polio-like disease with the brain stem and the spinal cord grey matter lesions and AFP. Infection with EV68 was confirmed in 5 out of 11 (45%) of the American AFP patients (Messacar *et al.*, 2015). Furthermore, EV68 has been also detected in four cases of AFP in Canada, two in Norway and one in France (Khan, 2015; Lang *et al.*, 2014; Pfeiffer *et al.*, 2015). A recent retrospective study identified EV68 in respiratory secretions from 12 of 25 (48%) patients with sporadic paralysis, strengthening the EV68 link to CNS disease (Greninger *et al.*, 2015). Interestingly, all the EV68 strains identified in association with paralytic disease formed a distinct genetic cluster suggesting ongoing emergence and adaptation of this virus (Du *et al.*, 2015). Direct linkage of EV68 to neurological disease has been complicated by difficulties to detect EV68 or its RNA in patients' CSF and so far only two studies succeeded to detect EV68 in patients' CSF (Khetsuriani *et al.*, 2006; Kreuter *et al.*, 2011). However, we should note that other neurological picornaviruses—PV and EV71—are also rarely recovered from the CSF, and therefore neurological involvement of EV68 cannot be ruled out on the basis of negative CSF samples.

The incidence of EV68 has clearly increased over the last decade. Although this observation could be related to significant improvements in the detection techniques, the accumulating clinical data suggests that EV68 should be considered an emerging pathogen. The concerns raised by respiratory EV68 infections and especially by their possible link to AFP necessitate careful surveillance of the virus

spread, detailed studies of its pathogenesis and evolution and development of preventative and/or treatment options.

Human parechovirus 3

Human parechovirus 3 belongs to species A within genus *Parechovirus* and is the second most common human parechovirus (Wolthers *et al.*, 2008). HPeV3 was isolated in 1999 from an infant with severe CNS disease (Ito *et al.*, 2004), and since then it has been recognized as the most or second most prevalent virus causing CNS infections in infants under 3 months old (Harvala *et al.*, 2009, 2011; Piralla *et al.*, 2014; van der Sanden *et al.*, 2008). Outbreaks of HPeV3 usually occur in summer-autumn seasons and have a distinct biennial pattern (Harvala *et al.*, 2011; Wolthers *et al.*, 2008). They have been documented in Europe (Benschop *et al.*, 2006), North America (Boivin *et al.*, 2005), Asia (Yamamoto *et al.*, 2009), and Australia (Cumming *et al.*, 2015) and are regularly associated with a variety of clinical presentations, from mild gastrointestinal or respiratory illness to life-threatening conditions in neonates (Esposito *et al.*, 2014; Harvala *et al.*, 2011; Tapia *et al.*, 2008). It can cause systemic infections with possible neurological involvement in infants that are collectively described as “sepsis-like illnesses” (Benschop *et al.*, 2006; Selvarangan *et al.*, 2011; Wolthers *et al.*, 2008). Such illnesses typically present with fever, seizures, irritability, respiratory and gastrointestinal problems and occasional rash being indistinguishable from severe EV infections (Shoji *et al.*, 2013; Verboon-Macielek *et al.*, 2008). The fraction of symptomatic HPeV3-infected infants that develop sepsis-like illness can exceed 80%; most of such patients require hospitalization and up to one third of them are admitted to the ICU (Schuffenecker *et al.*, 2012; Selvarangan *et al.*, 2011; Shoji *et al.*, 2013). The CNS symptoms of

HPeV3 infection can include meningitis, meningoencephalitis, encephalitis or cerebral hemorrhage with occasional white matter alterations (Khatami *et al.*, 2015; Kurz *et al.*, 2015). Whereas HPeV3 meningitis typically has good prognosis, meningoencephalitis entailing white matter alterations may have long-term sequelae such as cerebral palsy, learning disabilities, epilepsy or visual impairment (Verboon-Maciolek *et al.*, 2008). In addition, HPeV3 is occasionally associated with hemophagocytic lymphohistiocytosis (Aviner *et al.*, 2014) and sudden death syndrome in infants (Schuffenecker *et al.*, 2012). Furthermore, in Japan it was linked to myositis in children and epidemic myalgia in adults (Mizuta *et al.*, 2013; Yamamoto *et al.*, 2015). Fatal cases of HPeV3 infections are known: they resulted from encephalitis in the absence of an immune response and sometimes also involved white matter necrosis (Bissel *et al.*, 2015; van Zwol *et al.*, 2009).

Overall, HPeV3 represents a significant threat to neonatal health care. The incidence of HPeV3 infections and number of CNS disease cases increases (Harvala *et al.*, 2011) with no treatment options available necessitating search for antivirals and understanding of HPeV neutralization by antibodies (Wildenbeest *et al.*, 2010).

NEUTRALIZING ANTIBODIES IN PICORNAVIRUS INFECTIONS

Like with other viruses, the severity and outcome of picornavirus infections depends both on the viral and host factors. Host immune status is a key regulator of infection, and failure to mount an appropriate response inevitably leads to severe disease. The viral infection is detected by the specific pattern recognition receptors of the innate immunity that establish a complex signalling network, triggering the expression of antiviral genes in infected cells and the activation of specific adaptive responses (Dotzauer & Kraemer, 2012). The adaptive responses rely on the specific populations

of T-cells and antibody-producing B-cells. Although both innate and cellular adaptive immunity are essential, a large body of evidence indicates that efficient production of specific antibodies by the B-cells is primary for the control of picornaviral infections.

Picornaviruses typically infect children, likely due to their naive immune system. Severe picornavirus infections in healthy adults are uncommon. However, immunocompromised adults, in particular those with impaired B-cell responses, are susceptible to prolonged and/or severe picornavirus infections. For example, patients with X-linked agammaglobulinemia (XLA) have markedly reduced levels of B-cells and serum antibodies and are susceptible to enterovirus infections (Halliday *et al.*, 2003) with severe neurological manifestations (Quartier *et al.*, 2000). Moreover, patients with a- or hypogammaglobulinemia can also develop chronic HPeV1 infection (van de Ven *et al.*, 2011), as well as HPeV3 myocarditis and encephalitis that are extremely uncommon in adults (Mardekian *et al.*, 2015). Individuals undergoing immunosuppressive therapy further support the critical role of antibody responses in the control of picornaviruses. For instance, cancer treatment with rituximab leads to prolonged B-cell deficiency and hypogammaglobulinemia and patients receiving such therapy are susceptible to severe and even lethal enterovirus infections (Servais *et al.*, 2010).

Direct confirmation for the role of antibodies in picornavirus infections comes from controlled infections in animal models. Experimental infections in mice with different immunodeficiencies proved the significance of adaptive responses: whereas up to 70% of mice deficient in innate immune responses survived EV71 infection, mice with severe combined immunodeficiency developed limb paralysis and died in almost 100% of cases (Liao *et al.*, 2014). In Theiler's encephalomyelitis virus (TMEV)-infected mice—a common model for neurotropic picornaviruses—

immunosuppression with anti-IgM antibodies led to virus-induced demyelination (Rodriguez *et al.*, 1990).

At the moment there are no antivirals for treatment of severe picornavirus infections (Linden *et al.*, 2015; Wildenbeest *et al.*, 2010) and the only therapeutic option is intravenous immunoglobulin (IVIG). However, because of the low BBB permeability to antibodies, IVIG is rarely effective in CNS infections although intraventricular immunoglobulin administration may be beneficial (Quartier *et al.*, 2000). Antibodies can also be effective at mucosal sites and prevent picornavirus viremia and CNS invasion (Nathanson & Bodian, 1962). Successful management of severe picornavirus infections using IVIG (Wildenbeest *et al.*, 2013) indicates the potential efficacy of passive immunization to control picornavirus infections.

However, the presence of specific neutralizing antibodies and their titres cannot be controlled in IVIG preparation, and the reliable options of passive immunization against picornaviruses should be based on the production of specific neutralizing or broadly neutralizing antibodies that target known viral epitopes. Production of specific antibodies could also contribute to rapid and specific serology-based diagnostics of picornavirus infections that are beneficial at time-critical point-of-care setups. In addition to passive immunization, the success of the polio vaccine encourages development of vaccines against other picornaviruses. Controlling picornavirus infections with vaccines is not a feasible approach for the entire *Picornaviridae* family, but can be realistic for some virus types. Both vaccine and antibody development require thorough understanding of viral neutralization, and below we summarize the current knowledge of the neutralization of CNS-invading picornaviruses.

NEUTRALIZATION OF PICORNAVIRUSES

Neutralization of EV71 and CVA16

EV71 is genetically diverse and contains 14 genotypes: A, B1–B5, C1–C5, D, E, and F (Bessaud *et al.*, 2014); C4 is further classified into two lineages C4a and C4b (Zhang *et al.*, 2010a). Genotypes B3, B4, B5, C1, C2, C4 and C5 contributed to recent outbreaks (Chong *et al.*, 2015). CVA16 is less genetically diverse showing relatively slower evolutionary rate and has 3 genotypes: A, B1 (B1a, B1b, B1c) and B2 (Zhang *et al.*, 2010b). EV71 can undergo intra- and intergenotype shifts that occur due to recombination events during co-circulation with CVA16 or different EV71 genotypes and correlate with most outbreaks (Bible *et al.*, 2007). An effective vaccine should neutralize multiple serotypes of EV71 and also CVA16. This necessity underpins difficulties in EV71 vaccine development.

The first live-attenuated vaccine strain was reported by Arita *et al.* in 2005. It induced broadly-neutralizing responses in immunized monkeys, but was neurotropic when inoculated intravenously and its further development was halted (Arita *et al.*, 2007). Development of inactivated vaccines was more successful: five such vaccines developed by different organizations entered clinical trials and three of them have already completed Phase III showing 80.4–97.4% efficacy against EV71-induced HFMD in humans (Liu *et al.*, 2015a). Two C4-based vaccines developed by the Chinese Academy for Medical Sciences (CAMS) and Sinovac Biotech Co Ltd were approved by the Chinese Food and Drug Administration (CFDA) as of January 2016 (Mao *et al.*, 2016). In addition to live-attenuated and inactivated vaccines, virus-like particle (VLP) vaccine candidates were generated in baculovirus or *Saccharomyces cerevisiae* systems using co-expression of viral protein precursor P1 with viral protease 3CD (Chung *et al.*, 2008; Li *et al.*, 2013). The VLP vaccine candidate

produced in baculovirus system showed promise in *in vivo* studies: the survival rate of VLP-immunized mouse pups after lethal EV71 challenge was superior to those immunized with inactivated virus (Chung *et al.*, 2008) and it also induced protective responses in monkeys (Lin *et al.*, 2012). The approved inactivated vaccines cross-protected against B1, B5 and C4a (CAMS vaccine) (Chou *et al.*, 2013) and B4, B5, C2 and C5 (Sinovac vaccine) (Mao *et al.*, 2013); VLP vaccines protected monkeys against B4, B5, C3, C4 and C5 (Lin *et al.*, 2012), but none of them neutralized CVA16.

Multivalent vaccines may offer protection against EV71 and co-circulating CVA. Bivalent EV71/CVA16 vaccines based on inactivated viruses or VLP can elicit high titres of neutralizing antibodies in immunized mice and protect from EV71 and CVA16 infections (Ku *et al.*, 2014). Yet broader protection is desired to mitigate other HFMD contributors, such as coxsackievirus A6 (CVA6) (Liu *et al.*, 2014), and one trivalent EV71/CVA16/CVA6 inactivated vaccine candidate protecting mice from lethal challenge with these viruses was reported (Caine *et al.*, 2015).

Peptide vaccines consisting of well-defined neutralizing epitopes represent a promising approach to target against several heterologous viruses (Li *et al.*, 2014a). They are easier to produce compared to inactivated virus vaccines, do not require handling live virus and allow immunization with lower protein load. Neutralizing epitopes on EV71 are localized on viral structural proteins VP1, VP2 and VP3 (Fig. 3 (a) and (b)). Three continuous neutralizing epitopes have been localized to VP1 residues 163–177 (known as SP55) and 215–219 (part of SP70 which localizes to residues 208–222) and to region 240–260 (Chang *et al.*, 2010; Foo *et al.*, 2007; Lim *et al.*, 2012). SP55 has 85–100% sequence identity within A–C4 groups (Foo *et al.*, 2007) and SP70 is 100% conserved across EV71 genotypes A–C4 and thus is

universal for them. In addition, VP1 encompasses a strain-specific discontinuous neutralization epitope at the 5-fold symmetry axis with residue 145 critically contributing to antibody interaction (Lee *et al.*, 2013). Two other neutralizing epitopes were localized to residues 136–150 of VP2 (known as VP2-28) (Liu *et al.*, 2011), and to VP3 residues 55–69 that form a “knob” and are 100% conserved across EV71 subgenogroups A-C4 (Kiener *et al.*, 2014). Much less is known about neutralizing epitopes in CVA16. Most of the experimentally proven neutralizing epitopes of CVA16 were localized to VP1 (GH, EF, C-terminal loops and B and C β -sheets) (Ren *et al.*, 2015; Shi *et al.*, 2013); one more continuous epitope was found in GH loop of VP3 (Chong *et al.*, 2012) (Fig 3 (b)). Additional antigenic sites of CVA16 were predicted *in silico* in EF and HI loops of VP2 (Ren *et al.*, 2015). Although peptide vaccines are usually less immunogenic compared to the viral particles, this can be mitigated using adjuvants or fusing viral epitopes with highly immunogenic antigens. Using such an approach, a tandem of three well described EV71 epitopes—SP55, SP70 and VP2-28—separated by Gly-Ser linker and fused to thioredoxin was expressed in *E. coli*. The recombinant protein induced EV71-specific neutralizing responses in immunized mice, serving as a proof-of-concept for peptide vaccines against HFMD (Li *et al.*, 2014b). In another study, a hepatitis B virus-like particle displaying EV71 SP55 and VP2-28 epitopes induced neutralizing responses in immunized mice, and could also be cross-protective against CVA16 (Xu *et al.*, 2015b). In terms of immunogenicity EV71 is one of the best-studied picornaviruses with two EV71 vaccines approved by CFDA and further work will be driven by the necessity of multivalent HFMD vaccines.

Neutralization of enterovirus 68

EV68 is an emerging virus and little is known about its antigenicity. Imamura *et al.* studied the immunogenic properties of twelve EV68 genotypes belonging to all three genetic lineages of EV68—A, B and C (Imamura *et al.*, 2013). Immunized guinea pigs generated high titres of neutralizing antibodies to the original virus and to viruses of the same lineage, but very little cross-neutralization between genetic lineages was found (Imamura *et al.*, 2014). Importantly, the majority of sequence variation between EV68 lineages is localized to the VP1 BC and DE surface loops (Imamura *et al.*, 2013, 2014; Liu *et al.*, 2015b), which are the most variable and likely are epitope-containing in enteroviruses. Indeed, VP1 likely contains antigenic determinants as the increase in its gene diversity correlates with an increase in the number of EV68 detections (Meijer *et al.*, 2012), reflecting the appearance of antigenically new viruses in the population. Substitution dynamics within the viral genome also suggests the localization of antigenic epitopes to VP1: several positions in VP1 BC and DE loops (Fig. 3 (b)) are undergoing positive selection and might be associated with antigenic differences between EV68 genetic lineages (Imamura *et al.*, 2014). Nevertheless, to date the exact immunogenic epitopes of EV68 are only predictive and have not been mapped.

Not much is also known about the distribution of EV68 neutralizing antibodies in human population. One study done in Finland addressed this question reporting high titres of neutralizing responses to EV68 in 80% of the studied individuals (Smura *et al.*, 2010). However, neutralization responses in this study were addressed against the prototype EV68 Fermon strain, which is antigenically very different from the currently circulating EV68 strains (Greninger *et al.*, 2015; Meijer *et al.*, 2012).

Therefore, neither EV68 antigenicity, nor the population protection levels are understood well at the moment.

Neutralization of HPeV3

Almost nothing is known about HPeV3 antigenicity. Of the parechoviruses, only antigenicity of HPeV1 has been studied (Shakeel *et al.*, 2015) and so far three neutralizing epitopes on HPeV1 structural proteins VP0, VP1 and VP3 are described. One is localized to residues 83-97 of VP0 (Joki-Korpela *et al.*, 2000), another is found on VP1 and encompasses receptor recognizing arginine-glycine-aspartic acid (RGD) motif (Alho *et al.*, 2003) and the third one is formed by VP0 and VP3 (Shakeel *et al.*, 2015; Westerhuis *et al.*, 2015). Whereas antisera generated against HPeV1 VP0 peptide was not tested for HPeV3 neutralization, two other monoclonal antibodies did not cross-react with HPeV3 (Shakeel *et al.*, 2015; Westerhuis *et al.*, 2015). For HPeV3 only non-neutralizing epitope has been described (Shakeel *et al.*, 2016)

The data on HPeV3 seroprevalence in population is also sparse. A study of sera from populations in Finland and Netherlands revealed neutralizing responses to HPeV3 only in about 10% of the samples and very low titres of neutralizing antibodies post infection (Westerhuis *et al.*, 2013). On the contrary, researchers in Japan detected neutralizing responses to HPeV3 in 67% of individuals between 7 months and 40 years old (Ito *et al.*, 2004), and reported high titres of neutralizing antibodies after 3 months post infection in all studied individuals (Aizawa *et al.*, 2015). The virus strains used in European and Japanese studies were different, suggesting that some strains of HPeV3 are strongly immunogenic, whereas others are not. The determinants of immunogenicity within this virus are currently unknown and

investigation of the Japanese A308/99 strain immunogenicity may shed light on the neutralization of HPeV3.

The treatment option for human parechovirus infections could be IVIG (Wildenbeest *et al.*, 2013), but titres of neutralizing antibodies against HPeV3 in European IVIG preparations are very low (Westerhuis *et al.*, 2012). Developing vaccines does not seem feasible because subjects of severe HPeV3 infections are infants. Passive immunization protects against HPeV3 (Aizawa *et al.*, 2015) thus developing therapeutic antibodies is a necessity for which studies of HPeV3 antigenicity are required.

CONCLUSIONS AND FUTURE PERSPECTIVES

Our understanding of antigenicity of picornaviruses that target CNS is very poor and is largely limited to studies of EV71. Although studies of EV71 have already resulted in two CFDA-approved HFMD vaccines, multivalent HFMD vaccines to control different EV71 genotypes and also co-circulating CVA16 are the next goal. Proof-of-concept studies of such vaccines are promising (Caine *et al.*, 2015; Sun *et al.*, 2014), but further work is needed to identify the optimal combination of antigens for balanced, broadly protective immunity. Targeting multiple viruses with a single shot also requires delivery systems for effective presentation of multiple epitopes. In this regard, VLP and peptide vaccines may be preferable over inactivated vaccines, offering tailored solutions in terms of presented antigens together with comparable immunogenicity, high safety and less tedious production, and economical feasibility (Li *et al.*, 2014a).

We know almost nothing about EV68 and HPeV3 antigenicity. Classical approach to study virus antigenicity and develop vaccines relies on animal models,

which is just being developed for EV68 and not available for HPeV3, hampering investigation of these viruses. Therefore, their antigenicity should be studied directly from human sera using novel methods, such as peptide arrays (Hansen *et al.*, 2013), classical phage display or phage display enhanced with next generation sequencing (NGS) (Christiansen *et al.*, 2015). Successful use of custom phage display library and NGS was reported by Xu *et al.* who analysed antibody-peptide interactions in sera of over 550 donors and identified numerous previously undescribed viral epitopes, proving the utility of NGS-enhanced phage display for epitope identification (Xu *et al.*, 2015a).

Another future direction is the search for EV68 and HPeV3 neutralizing antibodies. In the absence of antivirals (Linden *et al.*, 2015; Wildenbeest *et al.*, 2010), such antibodies could be valuable therapeutics, especially for HPeV3 that infects infants for whom vaccination is not a suitable option. A useful approach for that is identification of individual's immune response to a given virus followed by respective B-cell cloning (Kwakkenbos *et al.*, 2014). This approach was utilized to generate two broadly neutralizing antibodies against HPeV (Shakeel *et al.*, 2015; Westerhuis *et al.*, 2015). Unfortunately, these antibodies did not neutralize HPeV3. High throughput approaches, such as sequencing of antibody repertoire (Georgiou *et al.*, 2014) and screening of large antibody fragments libraries using ribosomal, bacterial, yeast or phage display (Bradbury *et al.*, 2011) are also utilized for antibody discovery. For instance, phage display technology has already yielded monoclonal neutralizing antibodies with therapeutic potential for EV71 (Zhang *et al.*, 2015). Over 50 phage-display derived antibodies have been approved by the U.S. Food and Drug Administration (FDA) or European Medicines Agency (EMA) as of May, 2016. About 500 antibodies are undergoing clinical trials. These include antibodies targeting

infectious agents, for example Rabies virus, which is now in Phase II (Frenzel *et al.*, 2016).

Overall, picornaviruses antigenicity and neutralization studies have already brought encouraging results, however more challenges are ahead. The detailed understanding of viral immunogenicity is clearly an important task to focus on, but it should be carried out in parallel with broader studies of viral biology and spread. Although many research groups in Europe, US and Asia are very active in the field of picornavirus research, we are still far from a thorough understanding of viral epidemiology, pathogenesis, evolution and inhibition, which are necessary for effective virus control. Apart from scientific challenges, development protective measures against picornaviruses may face additional financial and regulatory difficulties due to the endemic nature of diseases that they cause. Hence, drawing the public attention to the health care threats that picornaviruses impose is another important activity area for the medical and scientific communities.

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551 **CONFLICTS OF INTEREST**

552 The authors declare no conflicts of interest.

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554 **ETHICAL STATEMENT**

555 The authors declare that there are no ethical issues.

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FIGURE LEGENDS

Fig. 1. Classification of picornaviruses. The scheme shows picornavirus genera and species that infect humans. Clinically important genotypes are also shown. The genotypes associated with neurological infections are highlighted with heavy font.

Fig. 2. Routes of picornavirus entry to the CNS. (a) Picornaviruses can infect peripheral nerve and invade CNS via retrograde axonal transport. (b) They can and spread further in CNS in a trans-synaptic manner. (c) During viremia picornaviruses can enter the CNS via hematogenous route through a disintegrated blood-brain barrier. (d) They can also cross the blood-brain-barrier in an active manner, possibly relying on cellular transferrin receptor 1. (e) Picornaviruses can infect migrating cells and invade CNS in a “Trojan horse” manner.

Fig. 3. Enterovirus structure and localization of immunogenic epitopes on viral surface. (a) Icosahedral picornavirus capsid, shown for EV71 (PDB ID: 3VBS), consists of 60 identical structural units (asymmetric units). Each asymmetric unit is composed of four viral structural proteins: VP1 (dark green), VP2 (grey), VP3 (light green) and VP4 (attached to the inner surface of the capsid and not seen in the cartoon). (b) Localization of known immunogenic epitopes on EV71 (PDB ID: 3VBS) (upper panel), CVA16 (PDB ID: 5C4W) (middle panel) and predicted epitope-containing loops on EV68 (PDB ID: 4WM8) (lower panel). For simplicity, only one structural unit is mapped on each virus. Structural proteins VP1 (dark green), VP2 (grey) and VP3 (light green) are shown. The epitopes are marked in orange and are pointed with arrows on a zoomed image. Overlapping epitopes are marked in red.

Figure 1

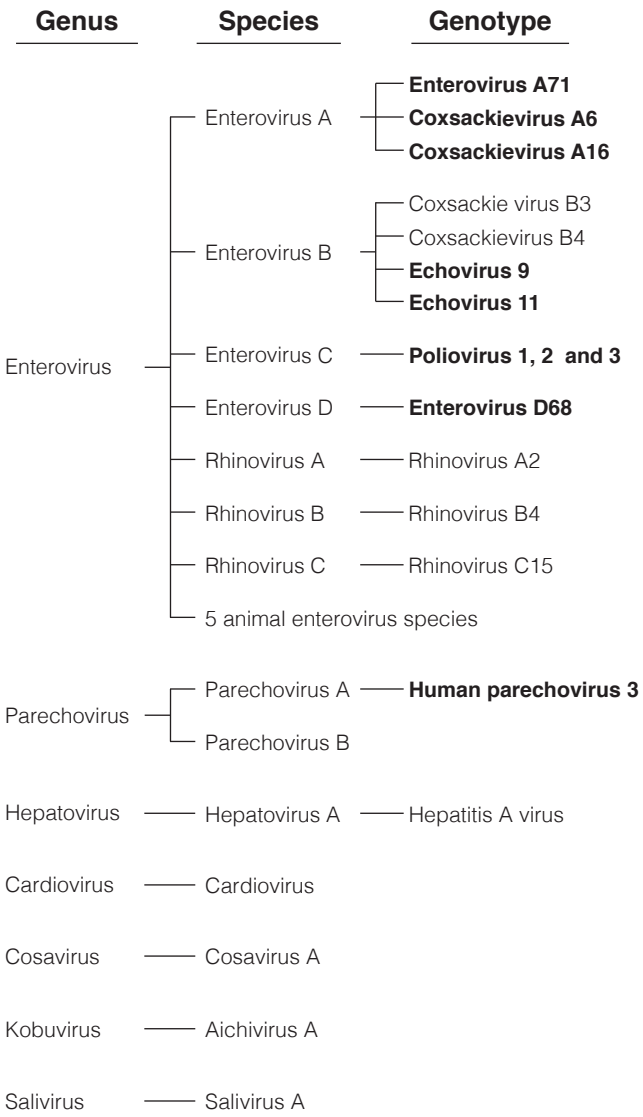


Figure 2

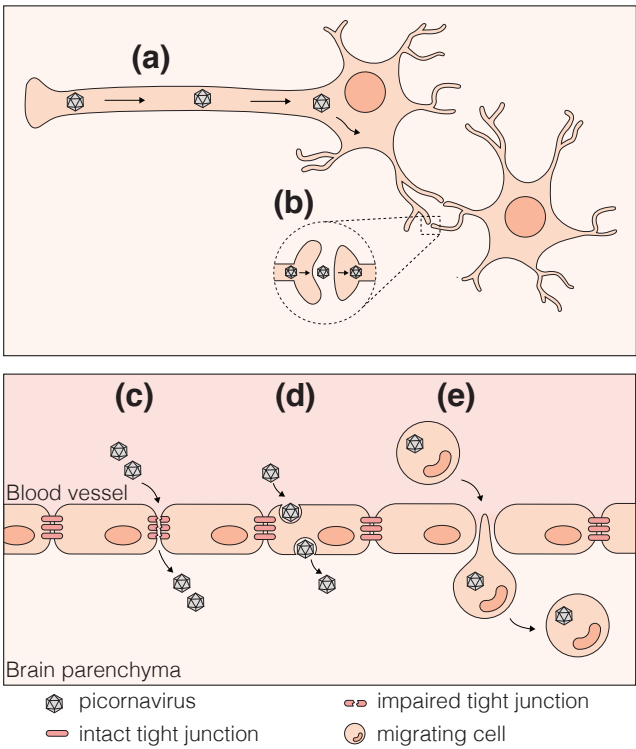


Figure 3

